



Statement of Economic Interests

For Office Use Only

2/28/13

E-MAIL, MAIL OR FAX TO:

City of Milwaukee Ethics Board, 200 E. Wells Street, Room 205, Milwaukee, WI 53202

ethics@milwaukee.gov • Fax: (414) 286-3456

Due February 28, 2013

Filed in 2013 for Calendar Year 2012 – Print legibly in black ink or type

Name: Tom Barrett

Do you live in the City of Milwaukee? ☒ Yes ☐ No

City Position: Mayor

(include department, title, board, commission, elective office if applicable)

SEE THE INSTRUCTION SHEET FOR EXPLANATIONS, EXAMPLES AND EXCEPTIONS.

Part 1 - Sources of Income

For calendar year 2012

A. List each EMPLOYER from which you and your immediate family received \$1,000 or more during 2012 (e.g., City of Milw.).

Name of Payer	City and State	Nature of Business	Self (S) or Family (F)
City of Milwaukee	Milwaukee, WI	Government	S
Wauwatosa School District	Wauwatosa, WI	School	F

B. List other sources of income from which you or your immediate family received income of \$1,000 or more in 2012.

Name of Payer	City and State	Nature of Business
N/A		

Part 2 - Business

As of December 31, 2012

For any payer listed above that is a partnership, limited liability company, Subchapter S or Subchapter C corporation in which you or your immediate family has a 10% or greater interest, list the IDENTITY OF EACH PAYER of \$1,000 to such partnership, LLC, Sub-S or Sub-C corporation (see instructions for certain payers which do not have to be listed).

Name of Payer	Name of Business Receiving Payment	Nature of Business	City and State
N/A			

Part 3 - Investments

As of December 31, 2012

List stocks, bonds, notes or other investments you and your immediate family held (\$5,000 or more).

Name of each Security or Mutual Fund Sponsor	TYPE OF SECURITY - " / " ONE				AMOUNT - " / " ONE	
	Stock, Options, Futures	Bond, Note	WI Gov't. Sect.	Mutual Funds or Money Market	\$5,000-\$50,000	more than \$50,000
See Attached						

Part 4 - Real Estate**As of December 31, 2012**

List specific location of REAL ESTATE in Milwaukee, Ozaukee, Racine, Washington and Waukesha counties (except your principal residence) in which you or your immediate family hold at least 10% interest which is valued at \$5,000 or more.

Street Address	County	Type of Property	Nature of Interest
N/A			

Part 5 - Creditors**As of December 31, 2012**

List each CREDITOR to whom you and your immediate family owed \$5,000 or more on December 31, 2012.

Creditor (\$5,000 or more)	City and State	\$5,000 - \$50,000 (check ✓)	more than \$50,000 (check ✓)
N/A			

Part 6 - Associations**As of December 31, 2012**

List every organization with which you are associated and the nature of your association.

Name of Organization	City and State	Nature of Association (e.g., member, officer, director)
See Attached		

Part 7 - Gifts**During calendar year 2012**

List individuals and organizations that provided you with ENTERTAINMENT or GIFTS more than \$50 in 2012.

Name of Provider	City and State	Description of Gift	Approx. Value
See Attached			

Did you file any separate gift reporting forms in 2012? ☐ Yes ☒ No

Part 8 - Honoraria and Payment of Expenses**During calendar year 2012**

List sources of HONORARIA and payment of EXPENSES of more than \$50 related to your city duties, for 2012.

Payer	Approximate Value of Expenses	Amount of Honorarium	Circumstances of Receipt
See Attached			

Did you file any separate honoraria/payment of expense disclosures in 2012? ☐ Yes ☒ No

I have read the accompanying instructions and certify that the information contained in this Statement of Economic Interests is true, complete and correct to the best of my knowledge, information and belief. If any part has been left blank, I have done so intentionally because there is nothing to report. Typing your name on the line below constitutes your signature of this document. Please sign and date your form and list your daytime telephone number and e-mail address. Clearly indicate if your daytime telephone number or e-mail address is your home telephone number or home e-mail address. In the event of a public records request, all information required by law will be released.

Signature Thomas M. Santilli Date 2/28/13

Daytime telephone number 414-286-6202 Is this your home telephone number? ☐ Yes ☒ No

E-mail address mayor@milwaukee.gov Is this your home e-mail address? ☐ Yes ☒ No

Submit Form

Ethics Report 2012 - Part 3: Investments

Submitted by Mayor Tom Barrett

Name of Each Investment or Security	Stock, Options, Futures	Bond	Limited Partnership	WI Govt Sect.	Mutual Funds or Money Market	\$5,000- \$50,000	\$50,000 or more
Badger Meter INC - (BMI) US Equity	X					X	
Berkley W R - (WRB) US Equity	X					X	
Capital World Growth & Income Fund Class A - (CWGIX) Int'l Equity					X	X	
Digital Reality Trust - DLR	X					X	
E I Du Pont De Nemours & Co. - (DD) US Equity	X					X	
Fidelity Advisor SER II Fund Mid Cap II Class B - (FIIBX) US Equity					X	X	
Fidelity ADV New Insights Fund Class B - (FNIBX) US Equity					X	X	
Franklin Growth 529 Portfolio Class B					X	X	
Gorman Rupp Co. - (GRC) US Equity	X					X	
Henderson European Focus Fund Class B - (HFEBX) Int'l Equity					X		X
Ishares TR FTSEXINHUA China 25 Index Fund - (FXI) Int'l Equity					X	X	
Keeley FDS INC Small Mid Cap Value Fund Class A - (KSMVX) US Equity	X					X	
Kinder Morgan MGMT LLC SHS - (KMR) US Equity	X					X	
Neogen Corp - (NEOG) US Equity	X					X	
New World Fund Inc NEW (NEWFX)	X				X	X	
Prime Money Market Fund RBC Investor Class					X		X

[illegible]

**Ethics Report 2012 - Part 6: Associations
Submitted by Mayor Tom Barrett**

Name of Organization	City and State	Nature of Association
Big Brothers Big Sisters	Milwaukee, WI	Board Member
Safe & Sound	Milwaukee, WI	Board Member
Milwaukee Film	Milwaukee, WI	Board Member
M7	Milwaukee, WI	Board Member
Milwaukee Water Council	Milwaukee, WI	Board Member
Great Lakes St. Lawrence Cities Initiative	Chicago, IL	Board Member, 2012 Chairman

Ethics Report 2012 - Part 7: Gifts
Submitted by Mayor Tom Barrett

Date	Gift Item	Received From/How	Placed or	Donated to
1/3/2012	Pink XL Tee with "Tribute to the KING" logo	Came with Media kit for Tribute to the KING event being held at Potawatomi from Jan. 4 - 7	Placed in Mayor's Office	
1/5/2012	Book entitled "Back to Work" written by Bill Clinton	Sent to the Mayor from John Cochran, CEO of the USCOM	Placed in Mayor's Office	
1/17/2012	One size fits all, cream 3/4 length Cabana Bay bath robe	Gift to the Mayor from Potawatomi Casino at the announcement of their new hotel 1/17/12	Placed in Mayor's Office	
1/25/2012	Book entitled "Everyone Leads" Building Leadership from the Community Up by Paul Schmitz	Sent to the Mayor from Paul Schmitz, CEO Public Allies	Placed in Mayor's Office	Donated to MPL - 3/23/12 -pd
1/26/2012	Book entitled "Brother Booker Ashe It's Amazing What the Lord Can Do" by Willy Thorn	Sent to the Mayor from Marquette University Press	Placed in Mayor's Office	
1/27/2012	Asian Phallic Symbolic Art Book	Given to the Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
1/27/2012	Sakya Solo Exhibition Book	Given to the Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
1/27/2012	Asian Coin Purse with silver metal book mark inside	Given to the Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
1/27/2012	Glass double embroidery artwork featuring birds and flowers	Gift to Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
1/27/2012	Harley Davidson Hat and Autographed T-shirt for Mayor's Birthday	Gift to Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
1/27/2012	Black and White Ningbo Starbucks mug	Gift to Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
1/27/2012	Silver Swiss Army Watch	Gift to Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
1/27/2012	Water color painted scroll featuring flowers and birds	Gift to Mayor during International Forum Trip-Beijing	Placed in Mayor's Office	
2/7/2012	Chinese Pan Iron w/wooden display base	Gift to the Mayor from the visiting Delegation from Ningbo	Logged and on display in Mayor's Office 2/8/12 - pd	

Ethics Report 2012 - Part 7: Gifts
Submitted by Mayor Tom Barrett

Date	Gift Item	Received From/How	Placed or	Donated to
2/13/2012	Book entitled "Minimizing Conflict through Restorative Conferencing" by Edna Fenceroy	Gift from the author delivered via mail	Logged in and placed on Brooke's desk awaiting placement 2/13/12 pd	Donated to MPL
2/20/2012	Framed Certificate of Appreciation	From State DNR for participation in 2012 Black History Month Celebration	Placed in Mayor's Office	
2/24/2012	Book Entitled "OKC Second Time Around" by Steve Lackmeyer & Jack Money	Sent to the Mayor from Greg Marcus 2/24/12	Placed in Mayor's Office	Donated to MPL
3/1/2012	2 framed 4x6 pictures of Mayor and son Tom at White House superbowl party January 2011	Sent from John Noel to Mayor	Logged in - Mayor kept 3/8/12 - pd	
3/5/2012	2 Venison logs, cheese and crackers	Gift to the Mayor from Paul Rauch of Paratech Ambulance Services	Logged in and placed in fridge for Mayor 3/5/12 - pd	Consumed by staff
3/7/2012	12x12 Pillow w/Milwaukee fabric design by artist Allison Beilke	Gift to the Mayor from the artist Allison Beilke	Displayed in Staff Office	
3/8/2012	Video "City of uMhlathuze" and blue zip bag w/City of uMhlathuze Vision into Action logo	Gift to Mayor from Mayor Ephas Mbatha of our sister city uMhlathuze, South Africa during visit 3/8/12	Donated to MPL	
3/12/2012	Book entitled "Science and Government" by C.P. Snow	Sent to Mayor by Bob Showalter	Logged in and given to Mayor 3/13/13 - pd	
3/13/2012	Piece of Commemorate Marble with a hand carved Shamrock in the middle	Gift from Brian Riordan of Brian Riordan, Inc.	Placed in Mayor's Office	
3/16/2012	XL Navy Tee with "Braille is the Best Way to Read Hands Down" Logo	Given to the Mayor at the 10th Annual Braille games at Gaenslen School 3/16/12	Donated t-shirt	
4/13/2012	Book entitled "Rebuilding America's Legacy Cities" New Directions for the Industrial Heartland - Alan Mallach, Editor	Sent to the Mayor from David Mortimer, President, The American Assembly, Columbia University	Placed in Mayor's Office	
4/17/2012	XL Grey long sleeved tee shirt w/Historic Third Ward logo on front	Given to the Mayor at the Annual meeting of the Historic Third Ward on April 17, 2012	Logged in and kept by Mayor 4/18/12 - pd	

Ethics Report 2012 - Part 7: Gifts
Submitted by Mayor Tom Barrett

Date	Gift Item	Received From/How	Placed or	Donated to
6/10/2012	XL white tee "Riverwest Locust Street Beer Run" logo	Given to the Mayor for the run on 6/10/12	Donated t-shirt	
6/11/2012	Book entitled "The Road to Freedom" by Arthur C. Brooks	Sent to the Mayor by Daniel Rothschild of American Enterprises Institute	Placed in Mayor's Office	
6/14/2012	Package of religious posters and pictures	Dropped off for the Mayor by Father Guy Gurath	Placed in Storage	
6/19/2012	Clear acrylic award from "2012 Public Policy Forum - Honorable Mention for Earn & Learn Program"	Awarded as Private - Public Cooperation to City of Milwaukee, Milwaukee Area, Workforce Investment Board, Multiple Private Partners	Logged in and displayed in the Pat Stawicki Conference Room 7/13/12 - pd	
7/3/2012	Coffee Cup with imprint "medc Milwaukee Celebrating 40 Years"	Sent to Mayor with annual report	Logged in and placed with other coffee cups 7/13/12 - pd	
7/12/2012	Plaque "The Optimist Creed"	Given to Mayor at opening ceremony of the Optimists International National Conference held on 7/12/12	Placed in Mayor's Office	
7/17/2012	Book entitled "Abundance - The Future is Better Than You Think" by Peter Diamandis and Steven Kotler	Sent to the Mayor by Michael Hoadley of FEWZION in relation to The Citites that Work Luncheon on 7/25/12.	Donated to MPL	
7/19/2012	Large zippered tote with Arts @ Large logo containing a 9"x6" sketch diary, book entitled "Our Milwaukee One City, Many Souls", book entitled "A traves de nuestros ojos - Through our Eyes", book entitled "ABC Milwaukee" written by the 2nd grade class from Tippecanoe School for the Arts & Humanities, 2 button pins "WheretheArts@?", box of 24 RoseArt Crayons and a pack of 5 crazy colored pencils	Given to the Mayor at the Arts @ Large Reception for being named the 2012 Arts Education Award for Americans for the Arts. This is a national award and the first award for any Midwest organization	Donated to MPL	

Ethics Report 2012 - Part 7: Gifts
Submitted by Mayor Tom Barrett

Date	Gift Item	Received From/How	Placed or	Donated to
7/19/2012	XL blue tee with "1967 Milwaukee NAACP Youth Council" on front and "2012 March to Equality - 200 Nights in Milwaukee" on back	From Arts@Large event at their gallery	Donated	
7/23/2012	XL Navy Tee with "Milwaukee Thirst for the Future - Discovery World" logo	Given to the Mayor at an Earn and Learn event at Discovery World 7/23/12	Donated	
7/24/2012	Black velvet box containing plaque engraved with a cityscape and "Municipalidad Metropolitana de Lima" translated as Municipality Metropolitan of Lima	Gift to Mayor from Luis Sandoval Davila, Consul General of Peru by Nelson Ortiz, Deputy General Counsel of Peru during meet and greet 7/24/12	Placed in Storage	
8/6/2012	Silver "Cans for Cash 2012 First Place" award to the City of Milwaukee	Awarded at United Milwaukee Scrap on 8/6/12	Logged in and placed in the Pat Stawicki Conference Room - 11/15/12 - pd	
8/8/2012	Bottle of Jackson-Triggs Proprietors Reserve Wine 2006 and set of 3 glass coasters with pressed maple leaves	Gift from Consul General Gitane de Silva of Canada at War of 1812 reception	Placed in Storage	
8/9/2012	Orange ceremonial cloth with maroon printed designs	Given to the Mayor at the Hindu Temple of Wisconsin prayer vigil	Placed in Storage	
8/9/2012	Magnetic acrylic frame with Picture of Mayor at Metropolitan Planning Council Luncheon 7/25/12	Sent to the Mayor from Ryan Griffin Stegink - Communications Associate at Metropolitan Planning Council	Logged in and placed in Pat Stawicki Conference Room 8/14/12 - pd	
8/13/2012	Wooden Boxed Canadian Flag	Given to the Mayor by Commander Yves Germain at War of 1812 event	Logged in and on display in Alexis' Office 8/14/12 - pd	Sent to DCD - on display in Commissioners conference room 8/30/12 - pd
8/13/2012	Cloth bag with Racemaker Press logo and book entitled "The 1912 Milwaukee Races" by Joel E. Finn	Sent to the Mayor by Maria Writsesel of Racemaker Press in Boston celebrating the 100th Anniversary of the 1912 Milwaukee Races	used by staff	

Ethics Report 2012 - Part 7: Gifts
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Date	Gift Item	Received From/How	Placed or	Donated to
8/17/2012	Book entitled "Nova Scotia", Book entitled "Sinn Fein - A Century of Struggle", an autographed CD entitled "Grainne Holland Teanga Na nGael" and a Glass Art Lamb, World Ireland tee shirt and flash drive bracelet, Coat of Arms Plaque, Dyed sheep's wool art cloth	Nova Scotia - gift from Deputy Premier Frank Corbett, Sinn Fein - gift from Basil McCrea - Northern Ireland Assembly, World Ireland Tee Shirt and Bracelet - gift from Colleen and Donna Blain, Coat of Arms plaque from members of Parliament, Westminster, Glass Art Lamb - gift from City of Galway Mayor, Dyed sheep's wool art cloth - from delegation.	Books donated to the library, Mayor kept tee shirt, glass art lamb placed in Mayor's Office, coat of arms plaque - flash drive bracelet and dyed sheep's wool art given to Alderman Murphy, CD donated - 9/19/12 - pd	
8/28/2012	Boxed pair of Cross Pen and Pencil Set	Used to sign ASQ membership agreement 8/28/12	Placed in Storage	
8/29/2012	Signed Book entitled "Meece for Mayor of New York City" by Kip Cosson	Sent to the Mayor by the author Kip Cosson	Donated to MPL	
9/13/2012	Book entitled "Cochin" and a 12 inch decorative wooden boat	Gift from the India Water Delegation meet and greet on 9/13/12	Donated to MPL - pd	
9/18/2012	Blue baseball cap with Safe & Sound logo	From the Safe & Sound Neighborhood Walk held on 9/18/12	Donated	
9/26/2012	Book entitled "Your Passport to Becoming a Valuable Team Player" by Sidney Moncrief and K. Weatherall	Gift to the Mayor from Sidney Moncrief	Placed in Mayor's Office	
9/27/2012	Black baseball cap with Big Brothers Big Sisters of Metro Milwaukee logo	From the BBBS Mix@Six Volunteer Social held on 9/27/12	Donated	
9/29/2012	Wooden Plaque - 2012 "We Matter Milwaukee Award"	Awarded to Mayor Barrett at the Voices of the Fatherless Child Award Ceremony held on 9/29/12	Logged in and placed in the Pat Stawicki Conference Room - 11/15/12 - pd	

Ethics Report 2012 - Part 7: Gifts
Submitted by Mayor Tom Barrett

Date	Gift Item	Received From/How	Placed or	Donated to
10/23/2012	CD entitled 4 A.M. (The Way Home) by Sam Llanas, CD entitled A Good Day to Die by Absinthe, a red XL tee with St. Sebastian Character Education logo, St. Sebastian 100th Anniversary Book and Cookbook	Gifts to the Mayor for helping with the Character Education Kick-Off on 10/23/12	Placed in Storage	CD's , cookbook and Anniversary book donated to library, tee shirt placed in donation box 12/19/12 - pd
10/29/2012	Grey bow tie made out of tire tubing, a banner poster showing the artery - UW-Madison prize 2012 and a grey XL tee with "the artery's matreal - a creational trail"	Given to the Mayor during a meeting with Julia Taylor and others re proposal that GMC is submitting to ArtPlace America for a \$500k grant awarded	Placed in Storage	Tee shirt placed in donation box, bow tie and banner placed in vault storage 12/19/12
11/5/2012	8 inch wooden Indonesian marionette puppet in plastic case	Gift to the Mayor from the delegation from the East-West 22nd New Generation Seminar on 11/2/12	Placed in Staff Office	
11/5/2012	Small paperweight type plaque with an apple and information on the Mitchell Street Market Lofts Ribbon Cutting on 10/12/12	Given to the Mayor at the Ribbon Cutting on 10/12/12	Placed in Storage	
11/7/2012	Reusable black tote with Leadership Wisconsin logo, package of colby cheese from Ehlenbach's Cheese Chalet and a Certificate of Appreciation	Given to the Mayor at the Leadership Wisconsin Panel Discussion meeting held on 11/7/12	Logged in 11/7/12 - pd, Cheese placed in fridge to be shared by staff, certificate placed in storage and tote left at front desk for use	Consumed by staff
11/7/2012	Framed CityScape from Enfiniti Designs	Given to the Mayor at the Pop-Up media tour at the Grand Avenue on 11/7/12	Placed in Mayor's Office	On display in media table hallway 11/15/12 - pd

Ethics Report 2012 - Part 7: Gifts
Submitted by Mayor Tom Barrett

Date	Gift Item	Received From/How	Placed or	Donated to
11/8/2012	Charcoal baseball cap with Toledo Walleye logo, gold baseball cap with Toledo Mudhens 1996 logo, blue water bottle with Seal of the City of Toledo logo, 2-7 and a half ounce cans of Tony Packo's hot dog sauce, 1-24 ounce bottle of Tony Packo's Pickles & Peppers, blue glass coffee cup with Toledo Glass Capital of the World logo	Given to the Mayor at the DPW Meet & Greet with members of the Toledo Public Works Department held on 11/8/12	Donated	
11/19/2012	Book entitled "Shadowbosses" by Mallory Factor with Elizabeth Factor	Sent to the Mayor from Rick Berman, Center for Union Facts	Logged in and donated to the library 12/19/12 -pd	
12/17/2012	Book entitled "Start-up Nation The story of Isreal's Economic Miracle" by Dan Senor and Saul Singer	Given to the Mayor by Consulate General of Israel to the Midwest Roey Gilad at meet & greet on 12/17/12	Placed in Mayor's Office	

Ethics Report 2012 - Part 7 Part 8: Honoraria, Expenses and Gifts
Submitted by Mayor Tom Barrett

Payer	Approximate Value	Circumstances of Receipt
Milwaukee Urban League	\$200/ticket	Mayor Barrett and Kris Barrett attended the 2012 Milwaukee Urban League's Black and White Ball on 6/16/12 as guests of Ralph Hollmon and the Milwaukee Urban League
Marcus Corporation	\$1,771.00	Mayor Barrett traveled to Oklahoma City as part of an economic development delegation from January 10-11, 2012. Funding from the Marcus Corp. covered flights, hotel & meals.
Milwaukee Brewers	Ticket value was less than \$50	Mayor Barrett attended the Milwaukee Brewers baseball game on 8/1/12 as part of his Safe Summer Initiative. The tickets were donated to 2,000 youth and youth organizations.
MMSD	\$1,942.20	Mayor Barrett attended the 2012 Great Lakes & St. Lawrence Cities Initiative (GLSLCI) Conference in Quebec City, Canada on 6/26-6/28/2012 where he participated in a panel discussion was inducted Chair of the GLSLCI. Expenses included lodging, travel, transportation, meals and event registration.
Hispanic Chamber of Commerce of Wisconsin	\$150/ticket	Mayor Barrett attended the Hispanic Chamber of Commerce Dinner as a guest of Maria Monreal-Cameron and the HCCW on 1/21/12. He provided remarks during the program.
IBM	\$712	Mayor Barrett traveled to Palisades, NY to participate in the IBM Smarter Cities Summit from November 14-16, 2012. Funding from the IBM covered hotel, ground transportation & meals.
Gene and Inez Gilbert	Dinner estimated at over \$50.00	Mayor Barrett and Kris Barrett had dinner with Gene and Inez Gilbert 3/16/12.
Milwaukee Rotary	\$250.00	Mayor Barrett and Kris Barrett attended the 100th Year Celebration of Milwaukee Rotary on 9/29/12. He presented a proclamation during the program.

Ethics Report 2012 - Part 7 Part 8: Honoraria, Expenses and Gifts

Submitted by Mayor Tom Barrett

Gene and Inez Gilbert	\$65/ticket	Mayor Barrett and Kris attended the UW Badger football game on 10/27/12 as guests of Gene and Inez Gilbert.
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